



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TASAKA, et al. Examiner: Unknown  
Serial No.: 10/566,460 Group Art Unit: Unknown  
Filed: January 30, 2006 Docket: 10873.1829USWO  
Title: INFORMATION RECORDING MEDIUM AND INFORMATION  
RECORDING AND REPRODUCING DEVICE

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 3, 2006.

By: Peggy Kerkhove  
Name: Peggy Kerkhove

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The art was recently cited in a Japanese Office Action mailed July 4, 2006. A copy of the office action is enclosed. JP6-36474, which is cited in the office action, was previously cited by the Applicant in an IDS filed January 30, 2006. Copies of any foreign patent documents or "Other Documents" are enclosed.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §(a)(3)):

A partial English translation and/or an English abstract have been included for JP 2000-311346 and JP 2003-257032. The following is a list of the cited Japanese references and its English equivalent:

JP 10-154331	US 6,061,316
JP 2000-311353	US 6,751,173
JP 2003-296943	US 2005/163029
JP 2002-358648	US 6,845,071
JP 2005-158148	US 2005/094518
JP 5-36074	US 5,289,450
JP 2000-36161	US 6,724,705
JP 2001-331940	US 6,963,525
JP 2003-203342	US 2003/185120

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
  - the requisite Statement is below, OR
  - the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND
  - the requisite Statement is below, AND
  - the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

#### **STATEMENT**

As required under §1.97(e), Applicants hereby state either that:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If this box is checked, Applicant provides the following:

#### **Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.



Application No.

Filing Date

Group

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

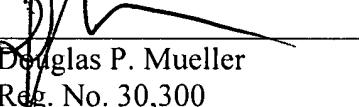
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0902  
(612) 455-3800

Dated: October 3, 2006  
By:   
Douglas P. Mueller  
Reg. No. 30,300

DPM/pjk





INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 10/566,460	Application Number: 10873.1829USWO
		Applicant: TASAKA, et al.	
		Filing Date: January 30, 2006	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,289,450	February, 1994	Mizumoto, et al.			
	6,061,316	May, 2000	Nakamura, et al.			
	2003/185120	October, 2003	Morozumi, et al.			
	6,724,705	April, 2004	Ko, et al.			
	6,751,173	June, 2004	Maeda			
	6,845,071	January, 2005	Shoji, et al.			
	2005/094518	May, 2005	Ueki			
	2005/163029	July, 2005	Tobita			
	6,963,525	November, 2005	Honda			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	5-36074	February, 1993	Japan			
	10-154331	June, 1998	Japan			
	2000-36161	February, 2000	Japan			
	2000-311346	November, 2000	Japan			Partial & Abstract
	2000-311353	November, 2000	Japan			
	2001-331940	November, 2001	Japan			
	2002-358648	December, 2002	Japan			
	2003-203342	July, 2003	Japan			
	2003-257032	September, 2003	Japan			Abstract
	2003-296943	October, 2003	Japan			
	2005-158148	June, 2005	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

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PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.



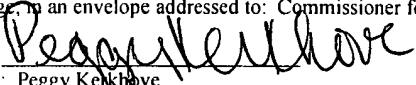
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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TASAKA, et al. Examiner: Unknown  
Serial No.: 10/566460 Group Art: Unknown  
Filed: January 30, 2006 Docket: 10873.1829USWO  
Confirmation No.: Unknown Notice of Allow Date: n/a  
Due Date: n/a  
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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 3, 2004.

By:   
Name: Peggy Kekhove

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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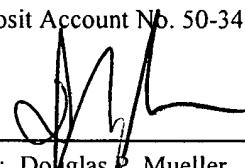
Sir:

The following papers are transmitted herewith:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Information Disclosure Statement, Form 1449, 11 references, copy of Japanese Office Action
- Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
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By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPM/pjk